

## ADDITIONAL INVESTMENT APPLICATION FORM

This is an Additional Application Form for an additional issue of units (“Units”) in the managed investment funds listed below (the “Funds”). Warakirri Asset Management Ltd ABN 33 057 529 370 AFSL 246782 (“Warakirri”) is the responsible entity of the each of the Funds. This Additional Application Form accompanies the Product Disclosure Statement for the relevant Fund (“PDS”).

Defined terms in the PDS have the same meaning in this Additional Application Form. An application for additional Units in a Fund that is made under this Additional Application Form may be rejected by Warakirri for any reason, including if it is incomplete or if Warakirri considers that it requires additional information in order to process the application and/or comply with its obligations. If you are a new investor and wish to subscribe for Units, please complete the Initial Application Form for an issue of initial Units (rather than this form), which is available on our website at [www.warakirri.com.au](http://www.warakirri.com.au).

Additional applications can also be made by logging into Warakirri’s online investor portal [here](#).

If you have any queries on how to complete this Additional Application Form or for access to our investor portal, please contact Warakirri on 1300 927 254.

### Fund Selection

Please select the Fund(s), Investor Account Number(s) and the amount(s) you wish to invest:

| <b>Fund:</b>                                    | <b>APIR Code:</b> | <b>ARSN:</b> | <b>Investor Account Number:</b> | <b>Application amount (AUD):</b> |
|---|-------------------|--------------|---------------------------------|----------------------------------|
| Warakirri Ethical Australian Equities Fund      | WRA7701AU         | 642 393 299  |                                 | \$                               |
| Warakirri Global Emerging Markets Fund          | WRA4779AU         | 642 392 863  |                                 | \$                               |
| Warakirri Concentrated Australian Equities Fund | WRA3342AU         | 642 392 041  |                                 | \$                               |
| <b>Total:</b>                                   |                   |              |                                 | \$                               |

*The minimum additional investment per Fund is AUD\$10,000.*

### Section 1 – Investor Information

Investor name

Application date

Investor Account Number(s)

Contact Person:

Name

Telephone

Please complete and review sections 2 to 4.

Please note that details of your existing account will apply to this additional investment, including:

- Authorised signatories
- Contact details including, residential address, postal address and phone numbers
- Correspondence preference
- Distribution election
- FATCA and CRS status.
- Investor Type and Identification
- Names of the invested entity's officers, member, governing committee, beneficial owners and relevant identification documents
- Nominated bank accounts
- Statement and report election
- TFN or ABN details

If any of your details have changed since your initial investment, please contact Warakirri on 1300 927 254 or [contact@warakirri.com.au](mailto:contact@warakirri.com.au)

## Section 2 - Investor Acknowledgment and Execution

By signing and submitting this Additional Application Form, the applicant named ("Applicant") unconditionally and irrevocably applies for additional Units in the Fund(s) with an aggregate issue price equal to the investment amount applied for, and acknowledges, agrees and undertakes in favour of Warakirri that:

- where Warakirri accepts this application, Warakirri is authorised to issue Units applied for and to enter the Applicant's name in the register of Unit holders of the Fund in respect of the Units issued;
- the Applicant will hold any Units issued to the Applicant subject to the provisions of the Trust Deed establishing the Fund;
- the Applicant has received, read, understood, accepts and agrees to be bound by the terms and conditions as outlined in section 2 of this Additional Application Form, the Fund's PDS, Additional Information Booklet and Constituent documents (as may be updated from time to time) to which this application relates;
- If the Applicant has received the PDS from the internet or other electronic means, the Applicant declares that it was received either personally or a printout was accompanied by the Application Form before making an application for Units in the Fund;
- the Applicant makes this application in its own personal capacity and not as trustee, partner or agent or any other capacity, except to the extent otherwise expressly stated in this Additional Application Form;
- has the power to enter into and perform its obligations under the PDS and the Constituent documents and to invest in the Fund;
- if the Applicant is a trustee of a superannuation fund or trust, the Applicant is acting in accordance with its designated powers and authority under the constituent documents for that trust and, if the Applicant is a trustee of a superannuation fund, the Applicant is a complying fund under the Superannuation Industry (Supervision) Act 1993 (Cth);
- is not aware and has no reason to suspect that monies used to fund the investment in selected product have been or will be derived from or related to any money laundering, terrorism financing, or similar activities illegal under applicable laws or regulations or otherwise prohibited under any international convention or agreement ("AML/CTF Laws");
- the Applicant is not aware and has no reason to suspect that the proceeds of its investment in Units in the Fund will be used to finance illegal activities;
- all information provided in this Additional Application Form is accurate and complete, and does not contain any information that is misleading or that is likely to mislead;
- the Applicant will inform Warakirri of any changes to the account information provided including authorised signatories, contact details or the investment entity's officers and structure; and
- unless otherwise disclosed in the application form:
  - the Applicant is not a United States person or a resident of the United States for taxation purposes (US Person);
  - no person, or entity, controlling, owning or otherwise holding an interest in the Applicant is a US Person; and
  - it is not receiving any financial product or services from the Trustee or any associated payment for the account or benefit of a US Person; and
- the Applicant acknowledges and agrees to be bound by the terms and conditions in section 4.

The Applicant acknowledges and agrees that Warakirri may decide to delay or refuse any request or transaction with respect to any Units applied for or issued to the Applicant, including by suspending the issue or redemption of Units, as permitted by the Constituent documents, which may include circumstances where Warakirri is concerned that the request or transaction may breach any obligation of, or cause Warakirri to commit or participate in an offence under any AML/CTF Laws, and Warakirri will incur no liability to the Applicant if Warakirri is to do so.

If this Additional Application Form is signed by an attorney, the attorney states that there has been no notice of the revocation of the power of attorney under authority of which this form is signed.

|                 |
|-----------------|
| Signature:      |
| Full name:      |
| Title/position: |
| Date:           |

|                 |
|-----------------|
| Signature:      |
| Full name:      |
| Title/position: |
| Date:           |

### Section 3 - Submitting this Additional Application Form

To apply for Units in the Fund(s), please fax or post this Additional Application Form directly to Warakirri Asset Management at the details provided below.

Fax to: Toll Free: 1300 154 460 (Australia)  
Toll: (+61) 1300154460 (International)

Post to: Citi Unit Registry – Warakirri Asset Management  
GPO Box 764  
Melbourne VIC 3001

#### Section 3.1 - Transferral of Investment Amount

Please make payment of the amount you wish to invest to the following bank account via bank transfer or BPAY:

##### **DIRECT CREDIT**

Bank: Citi Application Bank Account  
BSB number: 242 000  
Account number: 204239002  
Account name: CPL-ACF Warakirri Asset Mgmt Apps  
Payment Reference: *Please use your eight-digit investor number*

##### **BPAY**

BPAY Biller Code: 266825  
BPAY Customer Reference Number (CRN): 00 followed by your eight-digit investor number which can be found on your investor statement within the Investment Portal, for example 0026001111

Please note the following:

- 1) The completed Additional Application Form must be received by 2pm on a Business Day and deposited amounts transferred (and received or cleared) into the above bank on the same Business Day to receive that day's Application Price.
- 2) You will be sent a confirmation of your application to inform you if your application is accepted. You should check the details on the confirmation statement carefully.
- 3) If you have any enquiries, please do not hesitate to contact us on 1300 927 254.

#### Section 3.2 – Additional Application Form Checklist

Before you send your application, please check to see you have taken the following steps:

- Read and understand the PDS and Additional Information Booklet
- Complete all relevant sections of this Additional Application Form
- Execute this Additional Application Form after reading the terms and conditions in section 4 and acknowledgments in section 2
- Send this Additional Application Form to Warakirri
- Transfer of your investment amount to Warakirri's bank account

## Section 4 - Terms & Conditions

By signing and submitting this application, the Applicant acknowledges that they have read and understood and agreed to be bound by the terms and conditions as outlined below:

1. Warakirri may accept or reject this application with respect to any or all of the Units applied for, and may accept this application subject to any such conditions that it considers necessary or desirable, including obtaining any consents or further information or documents required.
2. Where Warakirri accepts this application for any Units applied for, the Applicant must pay for those Units in cleared funds, and if the Applicant fails to do, Warakirri may either issue those Units to the Applicant and the outstanding issue price will be a debt immediately recoverable by Warakirri from the Applicant, or Warakirri may elect to cancel the application.
3. The application for, offer and any issue of Units contemplated by this application, the PDS, Additional Information Booklet and the Constituent documents:
  - is personal to the applicant and may not be assigned to any other person; and
  - is governed by the laws of Victoria, Australia and the applicant submits to the non-exclusive jurisdiction of the courts of Victoria, Australia.
4. If this is a joint application, any Units will be held by the Applicants as joint tenants and, unless specified otherwise in this Additional Application Form, each applicant will be able to operate the account and bind the other(s) to any transaction including investments or withdrawals by any available method.
5. The Applicant has had a reasonable opportunity to make its own due diligence investigations and inquiries, and to seek independent professional advice, in connection with the Fund, the Units applied for and the risks and consequences of investing in the Fund.
6. Neither the Fund, Warakirri nor any other person guarantees the repayment of capital invested in, the performance of, nor any particular return from the Fund or any Units. Investments in the Funds are not deposits with or other liabilities of Warakirri or related bodies corporate, affiliates, associates or officers of any of these entities.
7. Warakirri may be required to pass on information about the Applicant and its investment to the relevant regulatory authority in complying with Anti-Money Laundering and Counter-Terrorism Financing legislation and the Applicant will provide such information and assistance that may be requested by Warakirri to comply with its legal obligations and will indemnify Warakirri against any loss caused by the Applicant's failure to provide such information or assistance;
8. The Applicant will provide Warakirri any information that it reasonably requires in order to enable it to meet all of its compliance, reporting and other obligations under the US Foreign Account Tax Compliance Act ('FATCA') and Common Reporting Standards ('CRS') and all associated rules and regulations from time to time.
9. Where the Applicant has provided Warakirri with information about the Applicant's status or designation under or for the purposes of FATCA (including, but without limitation, US residency or citizenship status and FATCA status as a particular entity type) and CRS and all associated rules and regulations, Warakirri will treat that information as true and correct without any additional validation or confirmation being undertaken except where it is under a legal obligation to do so.
10. The Applicant will pay or authorise the payment of all fees as disclosed in the PDS, Additional Information Booklet and the Constituent documents.
11. Warakirri is authorised to apply the TFN or ABN provided in this Additional Application Form to all future Applications for Units in the Fund, including reinvestments, unless notified otherwise.
12. Warakirri is authorised to accept and act upon any instructions in respect of this application and the Units to which it relates given by the Applicant by facsimile or other electronic means and the onus is on the applicant to ensure that such instructions are received in legible form. Warakirri may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.
13. The Applicant must pay its own costs with respect to this application and with respect to complying with its obligations under the Constituent documents and the issue of any Units to the Applicant;
14. If the Applicant chooses not to quote their TFN/ABN or claim an exemption, we may be required to deduct tax at the highest marginal rate plus the Medicare levy from any income payable to the Applicant. If provided, the Applicant's TFN/ABN will apply automatically to any future investment in the Fund(s) unless the Applicant indicates otherwise.
14. The Applicant represents and warrants to Warakirri that as at the date of this Additional Application Form and at all times until the later of this application being rejected by Warakirri or the Applicant ceasing to hold Units in the Fund:

- if the Applicant is located outside of Australia, it is a person to whom an invitation or offer to subscribe for Units in the manner contemplated by this application can lawfully be made under all applicable laws in the jurisdiction in which it is located, and to whom Units can lawfully be issued without the need for any registration, lodgement or other formality under Australian law or the applicable laws in any other jurisdiction;
  - the Applicant is able to pay its debts as and when they fall due, and no action has been taken towards winding it up or placing it in bankruptcy.
15. Privacy laws apply to the handling of each Applicant's personal information by Warakirri. You acknowledge and agree that any personal information you provide in or in connection with your application will be collected by Warakirri in order to establish and then support the ongoing administration of an investment in the Fund, and comply with Australian taxation laws, the Corporations Act and other laws and regulations. Personal information may also be used to advise Unit holders of new developments relevant to their investment in the Fund and for direct marketing purposes with respect to other investment opportunities offered by Warakirri and its related entities. For legal and practical reasons, Warakirri will not be able to process an application or administer an investment without certain prescribed information. In order to provide Unit holders with services related to their investment, Warakirri may be required to disclose personal details to certain third party service providers, including custodians, auditors, legal advisers, providers of printing and postal services and any affiliates. This will only be undertaken in accordance with privacy laws. If a Unit holder wishes to access personal information held by Warakirri, they may do so by requesting it in writing. Warakirri will aim to keep all records of personal information as correct and up to date as possible. Unit holders have the right to ask Warakirri to correct information about them which is inaccurate, incomplete or out of date. A copy of Warakirri's privacy policy is available on request.